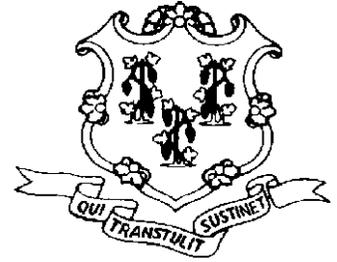




STATE OF CONNECTICUT
Department of Developmental Services



M.I.R.
Management Information Report
June 2014

Issued

August, 2014

by DDS Deputy Commissioner's Office

DDS Management Information Report

Table of Contents

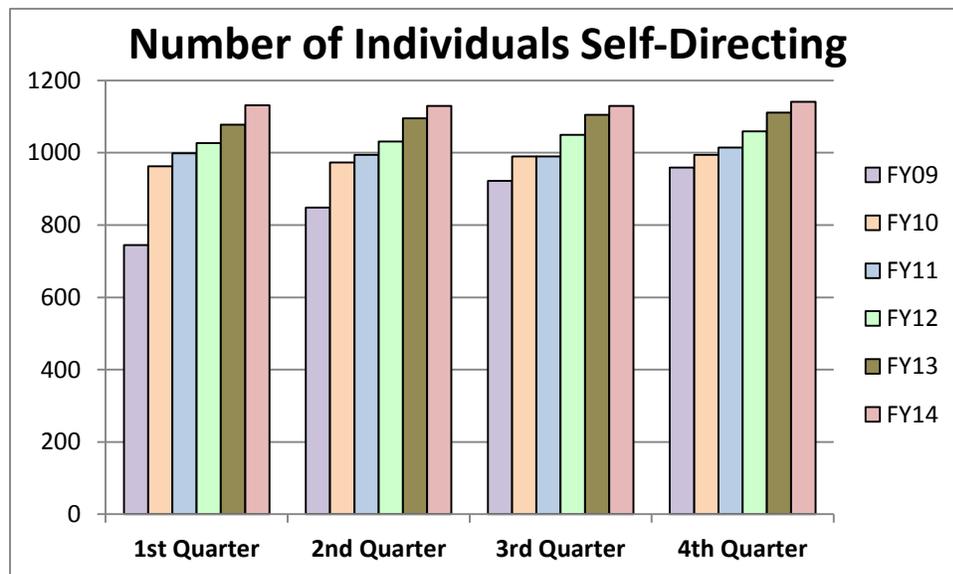
<u>Section</u>	<u>Page</u>
Executive Summary	2
A. Where People Live and How They Are Supported	5
B. Work and Day Services	7
C. Day Programs – Comparison of Employment vs. Non Employment Programs	9
D. Number of Individuals Who Self-Direct	10
E. Birth to Three Services	10
F. Active Individuals By Caseload Type	10
G. Family Support – Direct Support Services	11
H. Respite Utilization	12
I. Autism Spectrum Disorder Program	14
A. Residential Needs – Emergency and Priority One Waiting List	15
B. Residential Planning List	17
C. Future Planning Ageouts and High School Graduates	18
Section III: New Development Goals and Support Activity	18
A. +Residential Waiting List Activities and Residential Ageouts	19
B. Underserved Consumers Receiving Annualized Residential Supports	20
C. Day AgeOuts and High School Grads	20
Section IV: Eligibility	21
Section V: Case Load by Age	22
A. Residential	22
B. Day/Work Services	23
Section VI: Home and Community Based Waiver	24
A. Enrollment	24
B. Federal Revenue	25
Section VII: Human Resource	26
A. DDS funded Position Count	26
B. DDS Abuse and Neglect Registry	27

Executive Summary

The Management Information Report (MIR) provides DDS staff and stakeholders with information about eligibility, consumer services and supports, support needs and new development activities, waiver enrollment and revenue, and personnel management. Key findings from the fourth quarter report include:

Section I: Services and Supports

- Residential settings with the largest number of individuals served by DDS include Family Homes, Own Homes (IL) and CLAs (52.9%, 10.4%, 23.0% respectively)
- Out of all employment and day programs, Day Support Options and Group Supported Employment have the highest percentage of individuals participating. Neither of these programs is considered “Employment.” Individuals participating in Day Support Options don’t receive wages and those in Group Supported Employment earn wages that do not meet minimum wage standards.
- The gap between individuals in employment programs vs. non-employment programs continues to widen.
- Statewide, the number of individuals self-directing their services continues to increase throughout the fiscal year. In the previous fiscal years, the number of individuals self-directing their services increased throughout each quarter.



- The number of eligible enrolled children in the Birth to Three Systems has increased from last quarter.
- The number of active individuals is up from last quarter. Waiver remains the most frequent caseload type. All quarters within this fiscal year demonstrated the same trend.
- Statewide, the number of family direct support services has increased. Adults not enrolled have the highest number of new cases out of all new cases this quarter.
- Respite center usage is slightly up from last quarter (the number of people using respite beds has increased while the number of referrals has decreased). Since FY11, respite usage (referrals and respite beds) is high in the first quarter and continue to fall throughout the remaining three quarters in the fiscal year.
- Respite grant utilization has increased since last quarter as the number of people using services and total respite funds has increased. Throughout the fiscal year, there has been a fluctuation in the number of

individuals using respite services. 235 people used respite services in Q1, 154 people used respite services in Q2, 276 individuals used respite services in Q3 and 659 in Q4.

- Life Skills Coaches, Community Mentors, Behavior Management and Individual Goods & Services are the most frequent/prevalent services used by individuals participating in the Autism Spectrum Disorder Program.

Section II: Service Needs

- There are 684 individuals on the DDS Waiting List, defined as those who live in their own or family home, have no supports have active residential needs and are either an emergency or Priority 1 (31 individuals with emergency priority and 653 who are categorized as priority 1). This number has increased since the beginning of the fiscal year (50 individuals with emergency priority and 587 who are categorized as priority 1).
- 326 additional individuals are receiving DDS funded residential supports and services and need additional supports or live in institutional settings (DDS Campus facilities or Long Term Care settings) and wish to move to a community residential setting. 19 have emergency needs and 307 are categorized as priority 1.
- There are 1,321 people on the residential planning list. Individuals on the Planning List have residential needs and are have been assigned either a Priority 2 or 3 status (1,004 are priority 2 and 317 are priority 3). Those with a Priority of 2 or 3 want or will need services in two or more years. Their need is not considered urgent, critical or immediate and they are classified as Planning List.

Section III: New Development Goals and Support Activity

- 107 individuals were provided with supports through the re-use of opportune resources. 73 individuals were able to be moved utilizing portable funding. At the end of Q4 in FY13, 103 individuals were provided with supports through the re-use of opportune resources and 192 individuals were able to be moved utilizing portable funding. 69 individuals were served using FY14 age out funds.
- 14% (40 out of 282) individuals who are underserved received annualized residential supports. At the end of Q4 in FY13, 12% (38 out of 307) individuals who are underserved received annualized residential supports.
- 256 individuals who graduated in June 2013 were served with FY14 grad funding. 91 individuals who aged out of DCF were served with FY14 grad funds.

Section IV: Eligibility

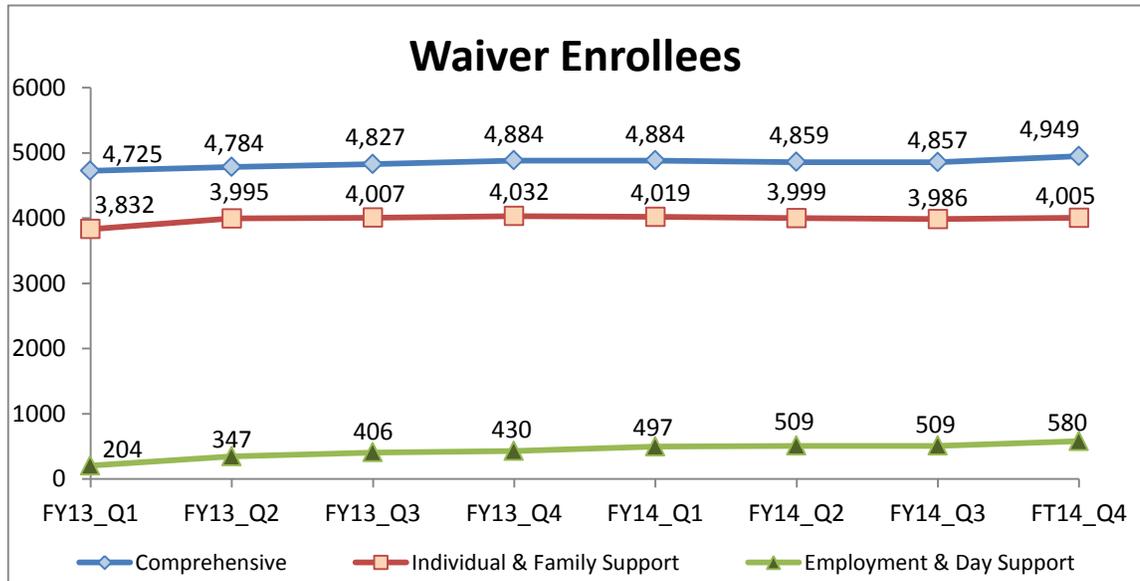
- The group of individuals with the highest number of eligible determination was between 8-17 years old. The same trend occurred in throughout all quarters in this fiscal year.

Section V: Case Load by Age

- The age group with the highest percentage of individuals being provided supports/services is between 22-34 years old (26% of individuals).
- Children (0-17 years old) and Young Adults (18-21 years old) most frequently attend public school as their day program over all other options. Adults (22 and over) participate in day supported options most frequently followed by group supported employment (38% and 28% of adults, respectively)

Section VI: Home and Community Based Waiver

- The Comprehensive Waiver is the most commonly used waiver during Q4. Out of all HCBS Waiver enrollees, private CLAs and Family Homes are the most frequent residential setting.



- Enrollees of the Individual and Family Support waiver are more likely to live in their family’s home or own home with supports than any other residential settings.
- ICF/MR, Targeted Care Management and Birth to Three have received over 100% of projected revenue.

Section VII: Human Resource

- 594 permanent full time positions and 289 permanent part time positions are vacant throughout the state. Many of the vacant positions were located at Southbury Training School. At the end of Q4 in FY13, 477 permanent full time positions and 214 permanent part time positions were vacant throughout the state.
- There was 1 new notification this quarter in the public sector on the Abuse/Neglect Registry. At the end of the Q4 in FY13, there was 1 new notification in the public sector on the Abuse/Neglect Registry.

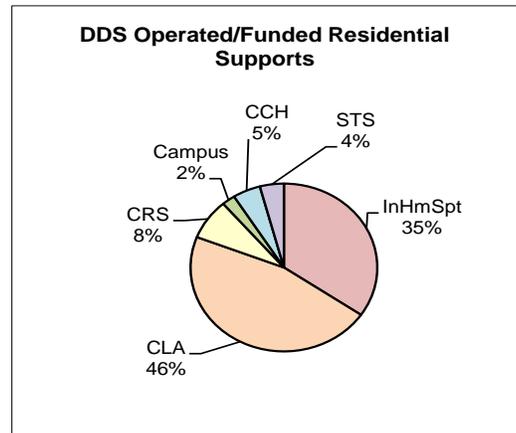
SECTION I: Services and Supports

**A. Where People Live and How They Are Supported
STATEWIDE**

Total Individuals with Intellectual Disability: 16,274 Δ YTD: +237
(includes all active DDS individuals with Intellectual Disability)

Total Served: 21,182 Δ YTD: +374
(Includes active clients plus 5,248 non-DDS clients in Birth to Three programs)

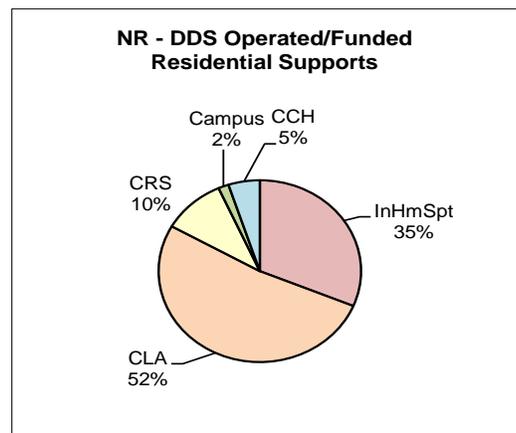
RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	7,141		554	911	8,606	52.9%
Own Home (IL)**	376	177	916	230	1,699	10.4%
Sub-Total	7,517	177	1,470	1,141	10,305	63.3%
% Total	72.9%	1.7%	14.3%	11.1%	100%	
DDS Operated/Funded						
STS		335			335	2.1%
DDS Centers		186			186	1.1%
CLA		340	3,402		3,742	23.0%
CRS			632		632	3.9%
CCH			388		388	2.4%
Sub-Total		861	4,422		5,283	32.5%
% Total		16.3%	83.7%		100%	
Other State Agencies						
DMHAS			4		4	0.0%
DOC			4		4	0.0%
DCFCTO			71		71	0.4%
Sub-Total			79		79	0.5%
Other						
LTC/SNF/RCH (HA)			413		413	2.5%
Res. Schools			103		103	0.6%
Other			60		60	0.4%
Sub-Total			576		576	3.5%
Blank			31		31	0.2%
Grand Total	7,517	1,038	6,578	1,141	16,274	100.0%



NORTH REGION

Total Individuals with Intellectual Disability: 5,490 Δ YTD: +115
(includes all active DDS individuals with Intellectual Disability)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,512		168	254	2,934	53.4%
Own Home (IL)**	150	55	280	53	538	9.8%
Sub-Total	2,662	55	448	307	3,472	63.2%
% Total	76.7%	1.6%	12.9%	8.8%	100.0%	
DDS Operated/Funded						
DDS Centers		43			43	0.8%
CLA		179	1,167		1,346	24.5%
CRS			262		262	4.8%
CCH			130		130	2.4%
Sub-Total		222	1,559		1,781	32.4%
% Total		12.5%	87.5%		100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			3		3	0.1%
DCFCTO			24		24	0.4%
Sub-Total			28	0	28	0.5%
Other						
LTC/SNF/RCH (HA)			126		126	2.3%
Res. Schools			34		34	0.6%
Other			27		27	0.5%
Subtotal			187		187	3.4%
Blank			22		22	0.4%
Grand Total	2,662	277	2,244	307	5,490	100.0%



*People who direct their own services

**Own Home includes individuals with services previously known as supported living

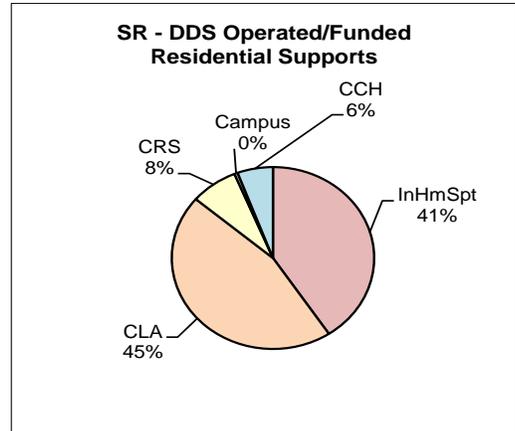
SOUTH REGION

Total Individuals with Intellectual Disability: 5,176

ΔYTD: -3

(includes all active DDS individuals with Intellectual Disability)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self*		
Family Home	2,203		184	310	2,697	52.1%
Own Home (IL)**	118	76	412	92	698	13.5%
Sub-Total	2,321	76	596	402	3,395	65.6%
% Total	68.4%	2.2%	17.6%	11.8%	100.0%	
DDS Operated/Funded						
DDS Centers		14			14	0.3%
CLA		142	1,060		1,202	23.2%
CRS			200		200	3.9%
CCH			150		150	2.9%
Sub-Total		156	1,410		1,566	30.3%
% Total		10.0%	90.0%		100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			0		0	0.0%
DCFCTO			36		36	0.7%
Sub-Total			37		37	0.7%
Other						
LTC/SNF/RCH (HA)			141		141	2.7%
Res. Schools			25		25	0.5%
Other			10		10	0.2%
Sub-total			176		176	3.4%
Blank			2		2	0.0%
Grand Total	2,321	232	2,221	402	5,176	100.0%



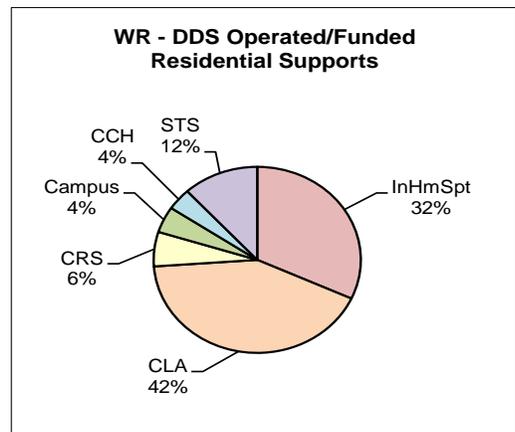
WEST REGION

Total Individuals with Intellectual Disability: 5,608

ΔYTD: +125

(includes all active DDS individuals with Intellectual Disability)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self*		
Family Home	2,426		202	347	2,975	53.0%
Own Home (IL)**	108	46	224	85	463	8.3%
Sub-Total	2,534	46	426	432	3,438	61.3%
% Total	73.7%	1.3%	12.4%	12.6%	100%	
DDS Operated/Funded						
STS		335			335	6.0%
DDS Centers		129			129	2.3%
CLA		19	1,175		1,194	21.3%
CRS			170		170	3.0%
CCH			108		108	1.9%
Sub-Total		483	1,453		1,936	34.5%
% Total		24.9%	75.1%		100%	
Other State Agencies						
DMHAS			2		2	0.0%
DOC			1		1	0.0%
DCFCTO			11		11	0.2%
Sub-Total			14		14	0.2%
Other						
LTC/SNF/RCH (HA)			146		146	2.6%
Res. Schools			44		44	0.8%
Other			23		23	0.4%
Sub-total			213		213	3.8%
Blank			7		7	0.1%
Grand Total	2,534	529	2,113	432	5,608	100.0%



*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SECTION I: Services and Supports

B. Work and Day Services

STATEWIDE

DAY/WORK STATUS						
Kind of Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		496	0	123	619	3.8%
Group Supp. Emp.		3,346	93		3,439	21.1%
Sheltered Emp.		327	17		344	2.1%
Day Supp. Opt.		4,280	200	91	4,571	28.1%
Adult Day Health		33	0	0	33	0.2%
Enclave		0	0	0	0	0.0%
Pre-Vocational		0	0	0	0	0.0%
Small Enterprise		0	0	0	0	0.0%
Individ. Day Supp.		957	0	129	1,086	6.7%
Comp. Employment	319				319	2.0%
Other		42	1		43	0.3%
Sub-Total	319	9,481	311	343	10,454	64.2%
% Total	3.1%	90.7%	3.0%	3.3%	100.0%	
Educational and Developmental Services						
LEA		3,788			3,788	23.3%
Res School		15			15	0.1%
Birth to Three		9	12		21	0.1%
Other		102	45		147	0.9%
Sub-Total		3,914	57		3,971	24.4%
Other						
No Day Program	960				960	5.9%
Blanks	889				889	5.5%
Sub-Total	1,849				1,849	11.4%
Grand Total	2,168	13,395	368	343	16,274	100.0%

NORTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		150	0	20	170	3.1%
Group Supp. Emp.		1,251	18		1,269	23.1%
Sheltered Emp.		152	0		152	2.8%
Day Supp. Opt.		1,364	19	43	1,426	26.0%
Adult Day Health		8	0		8	0.1%
Enclave		0	0		0	0.0%
Pre-Vocational		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		264	0	31	295	5.4%
Comp. Employment	117				117	2.1%
Other		16	0		16	0.3%
Sub-Total	117	3,205	37	94	3,453	62.9%
% Total	3.4%	92.8%	1.1%	2.7%	100.0%	
Educational and Developmental Services						
LEA		1,272			1,272	23.2%
Res School		2			2	0.0%
Birth to Three		6	0		6	0.1%
Other		93	13		106	1.9%
Sub-Total		1,373	13		1,386	25.2%
Other						
No Day Program	343				343	6.2%
Blanks	308				308	5.6%
Sub-Total	651				651	11.9%
Grand Total	768	4,578	50	94	5,490	100.0%

SOUTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		190		61	251	4.8%
Group Supp. Emp.		1,062	9		1,071	20.7%
Sheltered Emp.		69			69	1.3%
Day Supp. Opt.		1,382	0	18	1,400	27.0%
Adult Day Health		15	0		15	0.3%
Enclave		0	0		0	0.0%
Pre-Vocational		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		427	0	47	474	9.2%
Comp. Employment	98				98	1.9%
Other		7	1		8	0.2%
Sub-Total	98	3,152	10	126	3,386	65.4%
% Total	2.9%	93.1%	0.3%	3.7%	100.0%	
Educational and Developmental Services						
LEA		1,236			1,236	23.9%
Res School		3			3	0.1%
Birth to Three		1	11		12	0.2%
Other		1	20		21	0.4%
Sub-Total		1,241	31		1,272	24.6%
Other						
No Day Program	285				285	5.5%
Blanks	233				233	4.5%
Sub-Total	518				518	10.0%
Grand Total	616	4,393	41	126	5,176	100.0%

WEST REGION

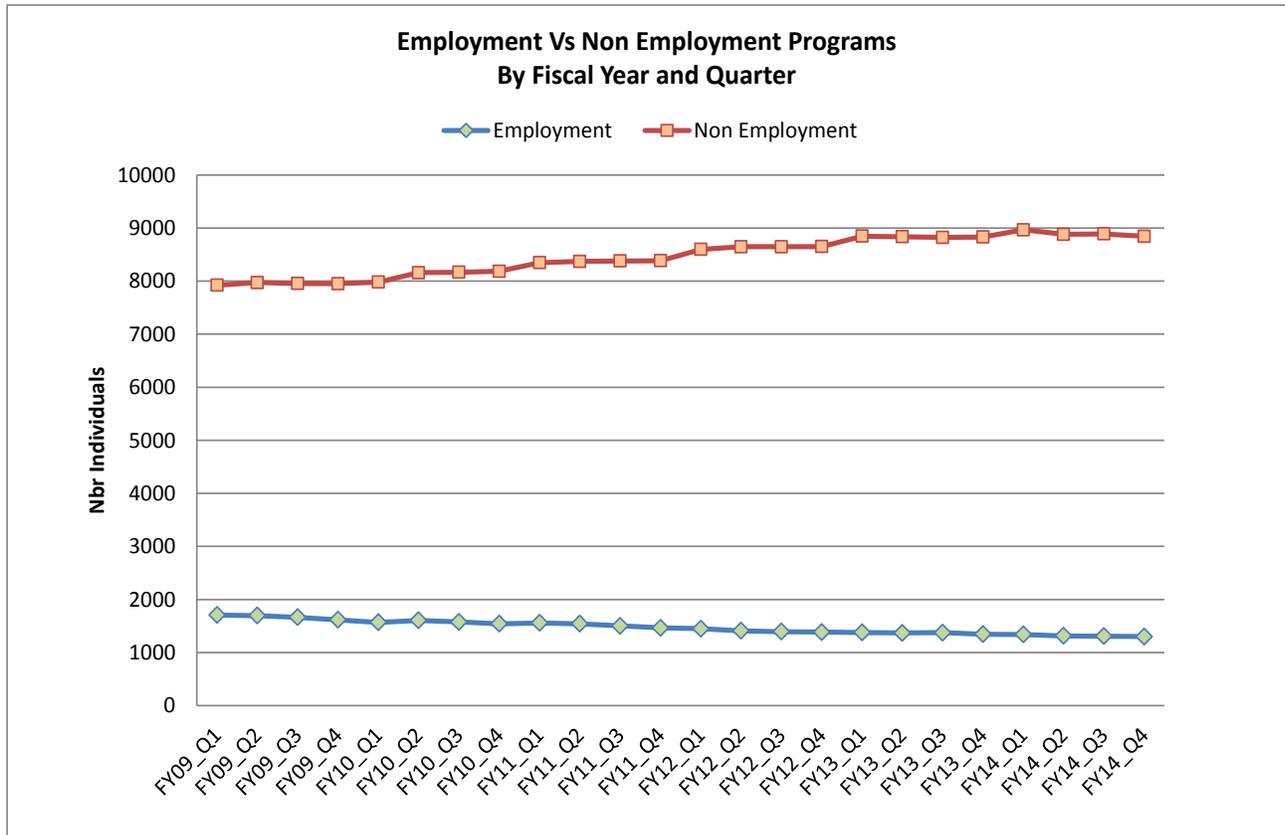
DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		156		42	198	3.8%
Group Supp. Emp.		1,016	10		1,026	19.5%
Sheltered Emp.		103	0		103	2.0%
Day Supp. Opt.		1,467	16	30	1,513	28.7%
Adult Day Health		10	0		10	0.2%
Enclave		0	0		0	0.0%
Pre-Vocational		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		266	0	50	316	6.0%
Comp. Employment	104				104	2.0%
Other		19	0		19	0.4%
Sub-Total	104	3,037	26	122	3,289	62.4%
% Total	3.2%	92.3%	0.8%	3.7%	100.0%	
Educational and Developmental Services						
LEA		1,280			1,280	24.3%
Res School		10			10	0.2%
Birth to Three		2	1		3	0.1%
Other		8	12		20	0.4%
Sub-Total		1,300	13		1,313	24.9%
Other						
No Day Program	324				324	6.1%
Blanks	347				347	6.6%
Sub-Total	671				671	12.7%
Grand Total	775	4,337	39	122	5,273	100.0%

SOUTHBURY TRAINING SCHOOL

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		0		0	0	0.0%
Group Supp. Emp.		17	56		73	21.8%
Sheltered Emp.		3	17		20	6.0%
Day Supp. Opt.		67	165	0	232	69.3%
Adult Day Health		0	0		0	0.0%
Enclave		0	0		0	0.0%
Pre-Vocational		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		0	0	1	1	0.3%
Comp. Employment					0	0.0%
Other					0	0.0%
Sub-Total	0	87	238	1	326	97.3%
% Total	0.0%	2.6%	7.2%	0.0%	9.9%	
Educational and Developmental Services						
LEA		0			0	0.0%
Res School		0			0	0.0%
Birth to Three		0	0		0	0.0%
Other		0	0		0	0.0%
Sub-Total		0	0		0	0.0%
Other						
No Day Program	8				8	2.4%
Blanks	1				1	0.3%
Sub-Total	9				9	2.7%
Grand Total	9	87	238	1	335	100.0%

SECTION I: Services and Supports

C. Day Programs – Comparison of Employment vs. Non Employment Programs



NOTE:

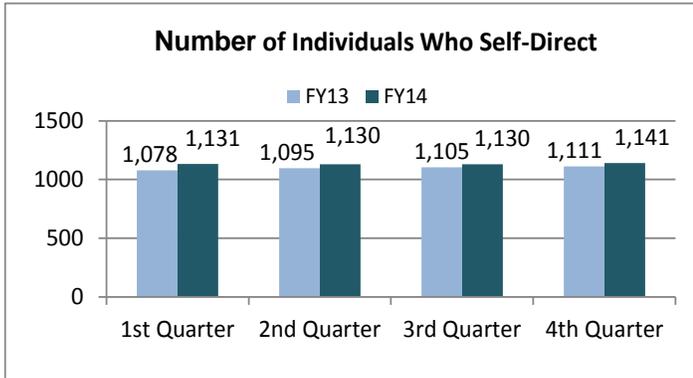
Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.

Non-Employment Programs consist of the following program types: Group Supported Employment, Sheltered Workshons. Dav Service Options. Individualized Dav Retired or Non Vocational

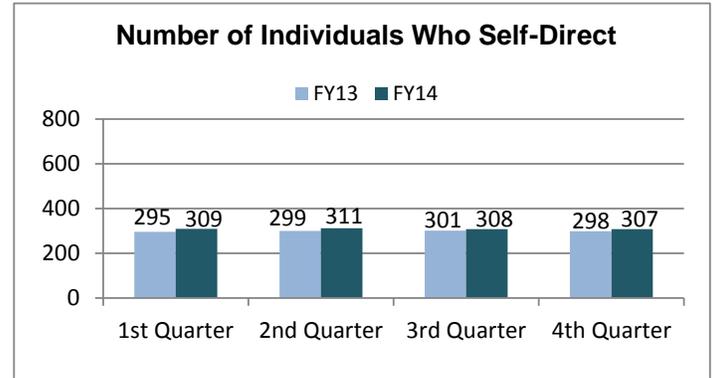
SECTION I: Services and Supports

- D. Number of Individuals Who Self-Direct
- E. Birth to Three Services
- F. Active Individuals By Caseload Type

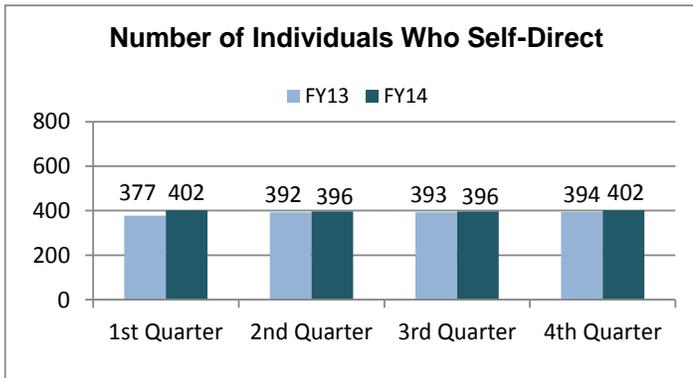
STATEWIDE



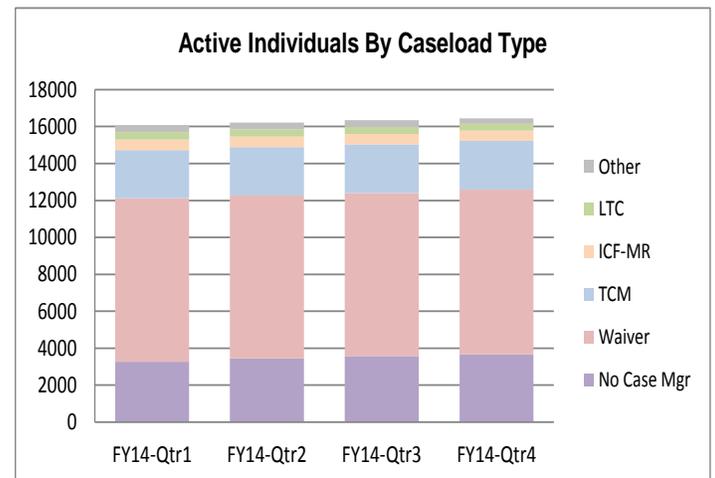
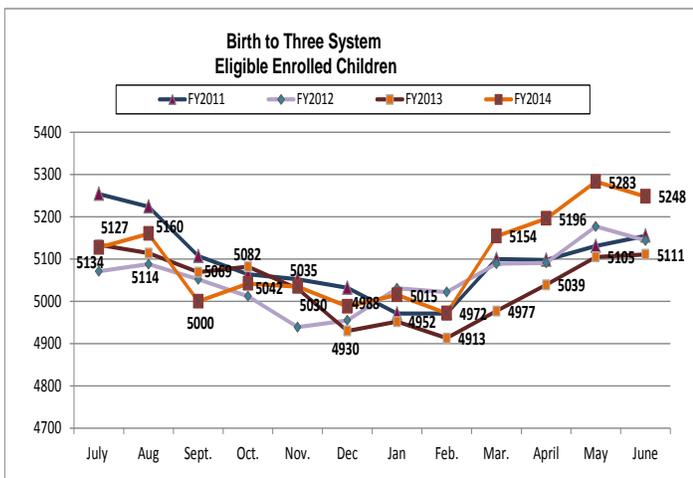
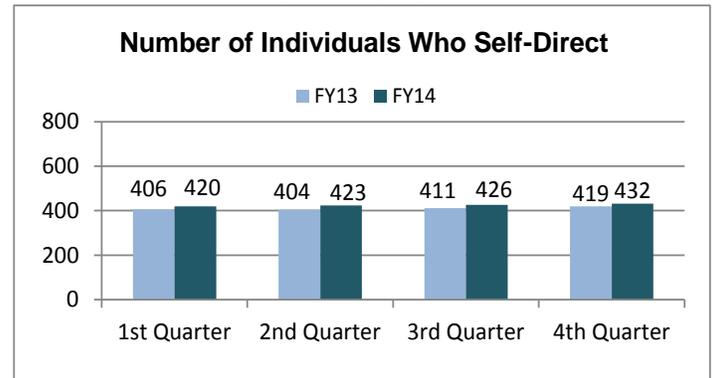
NORTH REGION



SOUTH REGION



WEST REGION



SECTION I: Services and Supports

G. Family Support – Direct Support Services

IFS Family Support - Statewide

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	26	114	15	148	29	165	95	284	165
Enrolled - Adult	39	193	17	168	30	199	38	249	124
Not Enrolled - Child	51	96	9	50	15	68	64	115	139
Enrolled - Child	3	7	2	7	0	4	1	6	6
Total	119	410	43	373	74	436	198	654	434

IFS Family Support - NR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	10	10	8	26	14	39	61	107	93
Enrolled - Adult	29	29	9	14	16	33	17	66	71
Not Enrolled - Child	47	47	5	8	10	17	45	61	107
Enrolled - Child	3	3	0	2	0	0	0	0	3
Total	89	89	22	50	40	89	123	234	274

IFS Family Support - SR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served							
Not Enrolled - Adult	9	39	5	46	8	50	23	97	45
Enrolled - Adult	8	69	5	52	3	53	16	65	32
Not Enrolled - Child	0	4	1	3	2	7	17	23	20
Enrolled - Child	0	3	2	4	0	3	1	5	3
Total	17	115	13	105	13	113	57	190	100

IFS Family Support - WR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	7	65	2	76	7	76	11	80	27
Enrolled - Adult	2	95	3	102	11	113	5	118	21
Not Enrolled - Child	4	45	3	39	3	44	2	31	12
Enrolled - Child	0	1	0	1	0	1	0	1	0
Total	13	206	8	218	21	234	18	230	60

SECTION I: Services and Supports

H. Respite Utilization

STATEWIDE									
Respite Center Utilization Data - FY 2014									
Baseline Data - June 2013			BY QUARTER						
Unduplicated Number Of Referrals	Under 18	240	Under 18	Q 1	Q 2	Q 3	Q 4	Total	
		Over 18	880	Over 18	175	20	15	10	220
				862	82	38	37	1019	
Unduplicated Number Of People Using Respite Beds	Under 18	178	Under 18	122	23	9	13	167	
		Over 18	739	Over 18	496	131	43	48	718

NORTH REGION									
Respite Center Utilization Data - FY 2014									
Baseline Data - June 2013			BY QUARTER						
Unduplicated Number Of Referrals	Under 18	105	Under 18	Q 1	Q 2	Q 3	Q 4	Total	
		Over 18	255	Over 18	84	5	2	5	96
				237	9	10	3	259	
Unduplicated Number Of People Using Respite Beds	Under 18	68	Under 18	52	7	2	4	65	
		Over 18	201	Over 18	159	32	7	9	207

SOUTH REGION									
Respite Center Utilization Data - FY 2014									
Baseline Data - June 2013			BY QUARTER						
Unduplicated Number Of Referrals	Under 18	50	Under 18	Q 1	Q 2	Q 3	Q 4	Total	
		Over 18	317	Over 18	22	8	5	1	36
				203	53	14	12	282	
Unduplicated Number Of People Using Respite Beds	Under 18	39	Under 18	22	4	4	1	31	
		Over 18	269	Over 18	203	8	2	2	215

WEST REGION									
Respite Center Utilization Data - FY 2014									
Baseline Data - June 2013			BY QUARTER						
Unduplicated Number Of Referrals	Under 18	85	Under 18	Q 1	Q 2	Q 3	Q 4	Total	
		Over 18	308	Over 18	69	7	8	4	88
				422	20	14	22	478	
Unduplicated Number Of People Using Respite Beds	Under 18	71	Under 18	48	12	3	8	71	
		Over 18	269	Over 18	134	91	34	37	296

STATEWIDE Respite Grant Utilization Data - FY 2014									
Baseline Data - June 2013			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Svcs	Under 18	221		Under 18	94	71	110	180	455
	Over 18	371		Over 18	141	83	166	479	869
Total Respite Funds		\$1,336,748			\$515,801	\$283,369	\$448,606	\$1,239,738	\$2,487,513

NORTH REGION Respite Grant Utilization Data - FY 2014									
Baseline Data - June 2013			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Svcs	Under 18	78		Under 18	23	18	6	67	114
	Over 18	127		Over 18	46	27	22	177	272
Total Respite Funds		\$691,261			\$185,788	\$97,842	\$79,043	\$519,388	\$882,060

SOUTH REGION Respite Grant Utilization Data - FY 2014									
Baseline Data - June 2013			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Receiving Respite	Under 18	53		Under 18	20	22	25	62	129
	Over 18	149		Over 18	38	35	81	255	409
Total Respite Funds		\$385,808			\$107,399	\$99,341	\$184,870	\$572,436	\$964,046

WEST REGION Respite Grant Utilization Data - FY 2014									
Baseline Data - June 2013			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Receiving Respite	Under 18	90		Under 18	51	31	79	51	212
	Over 18	95		Over 18	57	21	63	47	188
Total Respite Funds		\$259,679			\$222,614	\$86,186	\$184,693	\$147,913	\$641,406

SECTION I: Services and Supports

I. Autism Spectrum Disorder Program

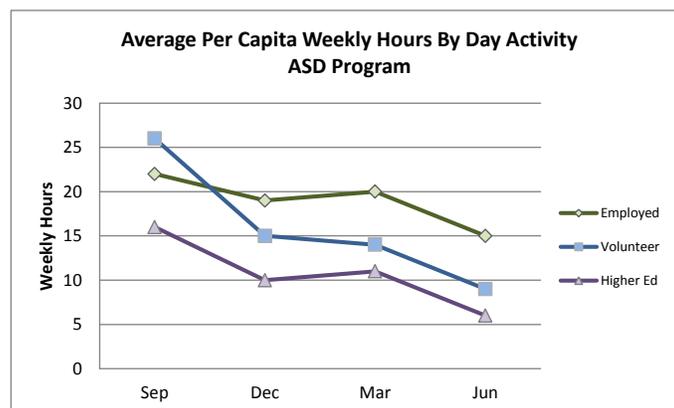
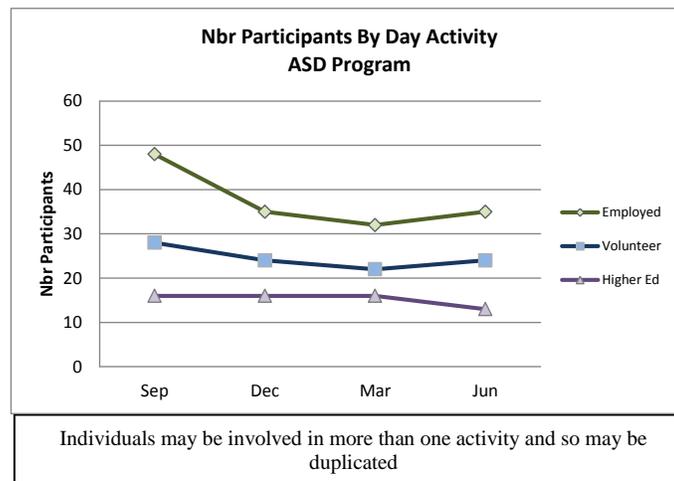
Budgeted* Amounts for DDS Autism Program By Service - 2014 Fourth Quarter

ServiceDesc	Budgeted Amt	Utilization @ 66%	Pct of Budget	Nbr Consumers**	Pct of Consumers***	Agency Provided	Self Direction
Activity Fee	\$ 1,600.00	1056	0.05%	9	9.28%	N/A	N/A
Behavior Mgmt	\$ 536,564.00	354132.24	17.77%	68	70.10%	X	
Community Mentor	\$ 688,903.00	454675.98	22.82%	66	68.04%	X	
Self Hire Community Mentor	\$ 129,577.00	85520.82	4.29%	16	16.49%		X
Individual Goods & Services	\$ 14,848.00	9799.68	0.49%	54	55.67%	N/A	N/A
Job Coach	\$ 109,482.00	72258.12	3.63%	24	24.74%	X	
Self Hire Job Coach	\$ 19,814.00	13077.24	0.66%	3	3.09%		X
Job Dev / Career Coun	\$ -	0	0.00%	0	0.00%	X	
Life Skills Coach	\$ 1,133,247.00	747943.02	37.53%	86	88.66%	X	
Self Hire Life Skills Coach	\$ 175,210.00	115638.6	5.80%	15	15.46%		X
Respite In Home Per Day	\$ 6,517.00	4301.22	0.22%	4	4.12%	X	
Respite In Home Per Hour	\$ 72,936.00	48137.76	2.42%	9	9.28%	X	
Respite Out Home Per Day	\$ 8,154.00	5381.64	0.27%	4	4.12%	X	
Respite Out Home Per Hour	\$ 30,817.00	20339.22	1.02%	7	7.22%	X	
Self Hire Respite out of Home Day	\$ -	0	0.00%	0	0.00%		X
Self Hire Respite in Home hour	\$ 12,272.00	8099.52	0.41%	2	2.06%		X
Social Skills Groups	\$ 63,084.00	41635.44	2.09%	40	41.24%	X	
Spec Driver Assessment	\$ 3,048.00	2011.68	0.10%	4	4.12%	X	
Transporation, per mile	\$ 8,998.00	5938.68	0.30%	8	8.25%	X	
Transporation, per Trip	\$ 4,324.00	2853.84	0.14%	1	1.03%	X	
Grand Total	\$ 3,019,395.00	1992800.7	100.00%				

*Amounts Shown are budgeted for Fiscal year 2014 Fourth Quarter, not actual expenditures

**Nbr Consumers is a duplicated count as individual consumers may receive multiple services

***Pct of Consumers is based on average enrollment of 103 individuals in DDS Autism Program



Section II: Service Needs

A. Residential Needs – Emergency and Priority One Waiting List

The tables within this section represent people with active residential needs in the following categories:

Waiting List

This is a group of individuals living either in their own or family home who currently receive no funded residential supports from DDS

Statewide Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	31	653	684
DYTD	2	63	65

Other Residential Needs

This group of individuals either receives DDS funded residential supports and services and need additional supports or live in institutional settings (DDS Campus facilities or Long Term Care settings) and wish to move to a community residential setting.

Statewide Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	2	50	52
CRS	1	10	11
CCH	1	12	13
Indiv Home Supp*	9	167	176
Sub-Total	13	239	252
DYTD	4	-16	-12
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	1	2
Sub-Total	1	1	2
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	53	53
Other	5	14	19
Sub-Total	5	67	72
Total w/Sup. & Service	19	307	326
Change YTD	1	-17	-16

Priority Status

Emergency

The individual has an immediate need for residential placement, support or services.

Priority One

The individual/family is requesting placement within 1 year and has been determined to have the most pressing need for services

In addition these individuals belong to one of these target groups:

No Services	No Services/Resources - Individual who is living with their family or independently and does not receive individual supports.
Underserved	Individual who currently receives residential services or supports but needs increased resources in their current placement and does not want or need to move
Olmstead	Individual who resides in a Regional Center, SNF, ICF, Nursery or a Hospital for Special Care who wants to move to a more integrated Residential Setting (as defined by the IDT).

This data does not include other individuals with residential needs such as those aging out of residential settings not currently DDS funded, those desiring to move from one residential support (non-institutional) to another

North Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	10	182	192
DYTD	4	-5	-1

South Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	10	224	234
DYTD	-5	34	29

West Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	11	247	258
DYTD	3	34	37

North Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	13	14
CRS	0	1	1
CCH	0	3	3
Indiv Home Supp*	0	53	53
Sub-Total	1	70	71
DYTD	1	24	25
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	1	2
Sub-Total	1	1	2
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	18	18
Other	0	8	8
Sub-Total	0	26	26
Total w/Sup. & Service	2	97	99
Change YTD	-1	28	27

South Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	5	5
CRS	0	0	0
CCH	1	0	1
Indiv Home Supp*	4	23	27
Sub-Total	5	28	33
DYTD	2	-2	0
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	16	16
Other	0	3	3
Sub-Total	0	19	19
Total w/Sup. & Service	5	47	52
Change YTD	0	-2	-2

West Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	32	33
CRS	1	9	10
CCH	0	9	9
Indiv Home Supp*	5	91	96
Sub-Total	7	141	148
DYTD	1	-38	-37
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	19	19
Other	5	3	8
Sub-Total	5	22	27
Total w/Sup. & Service	12	163	175
Change YTD	2	-43	-41

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs

B. Residential Planning List

Individuals on the Planning List have residential needs and are have been assigned either a Priority 2 or 3 status. Those with a Priority of 2 or 3 want or will need services in two or more years. Their need is not considered urgent, critical or immediate and they are classified as Planning List.

STATEWIDE	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	837	301	1138
DYTD	-14	-6	-20
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	4	0	4
CCH	1	0	1
Indiv Home Supp*	145	15	160
Sub-Total	150	15	165
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	1	0	1
DCF/CTO	1	0	1
Sub-Total	3	0	3
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	8	1	9
Other	6	0	6
Sub-Total	14	1	15
Total Supports & Service	167	16	183
Grand Total	1004	317	1321
Change YTD	-34	-12	-46

NORTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	284	106	390
DYTD	3	-8	-5
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	0	0	0
CCH	0	0	0
Indiv Home Supp*	64	7	71
Sub-Total	64	7	71
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	1	0	1
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	0	3
Other	5	0	5
Sub-Total	8	0	8
Total Supports & Service	73	7	80
Grand Total	357	113	470
Change YTD	-1	-12	-13

SOUTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	337	107	444
DYTD	-12	-5	-17
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CCH	0	0	0
Indiv Home Supp*	55	1	56
Sub-Total	57	1	58
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	4	0	4
Other	0	0	0
Sub-Total	4	0	4
Total Supports & Service	62	1	63
Grand Total	399	108	507
Change YTD	-22	-5	-27

WEST REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	216	88	304
DYTD	-5	7	2
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CCH	1	0	1
Indiv Home Supp*	26	7	33
Sub-Total	29	7	36
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	1	1	2
Other	1	0	1
Sub-Total	2	1	3
Total Supports & Service	32	8	40
Grand Total	248	96	344
Change YTD	-11	5	-6

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs

C. Future Planning Ageouts and High School Graduates

Residential Ageouts

STATEWIDE	
DCF, LEA or ISA Funded	
FY 2015	94
FY 2016	75
FY 2017	82

NORTH REGION	
DCF, LEA or ISA Funded	
FY 2015	30
FY 2016	25
FY 2017	34

SOUTH REGION	
DCF, LEA or ISA Funded	
FY 2015	34
FY 2016	25
FY 2017	24

WEST REGION	
DCF, LEA or ISA Funded	
FY 2015	30
FY 2016	25
FY 2017	24

Grads and Day Ageouts

STATEWIDE		
	School Graduates	Ageouts
FY 2015	289	93
FY 2016	292	91
FY 2017	121	80

NORTH REGION		
	School Graduates	Ageouts
FY 2015	107	38
FY 2016	89	31
FY 2017	5	23

SOUTH REGION		
	School Graduates	Ageouts
FY 2015	92	26
FY 2016	106	33
FY 2017	14	29

WEST REGION		
	School Graduates	Ageouts
FY 2015	90	29
FY 2016	97	27
FY 2017	102	28

NOTE: The above numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of DCF, LEA or ISA funded settings or due to Graduate from their School District. The Fiscal Year is based on the Fiscal Year funding identified in the PRAT database. Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2017).

Section III: New Development Goals and Support Activity

A. +Residential Waiting List Activities and Residential Ageouts

FY 14 - Fourth Quarter Report Waiting List Activities Service Activity April 1, 2014 -June 30, 2014	
Residential Waiting List Funding and Service Activity	
North Region	Actual YTD
Re-Use of Opportune Resources	32
Move Utilizing Portable Funding	37
South Region	Actual YTD
Re-Use of Opportune Resources	39
Move Utilizing Portable Funding	21
West Region	Actual YTD
Re-Use of Opportune Resources	36
Move Utilizing Portable Funding	15
Statewide	Actual YTD
Re-Use of Opportune Resources	107
Move Utilizing Portable Funding	73

Residential AgeOuts			
	Goal	Actual YTD	Difference
North Region			
Served with FY14 AO Funds	38	24	-14
Total	38	24	-14
South Region			
Served with FY14 AO Funds	25	24	-1
Total	25	24	-1
West Region			
Served with FY14 AO Funds	31	21	-10
Total	31	21	-10
Statewide			
Served with FY14 AO Funds	94	69	-25
Grand Total	94	69	-25

Section III: New Development Goals and Support Activity

B. Underserved Consumers Receiving Annualized Residential Supports

C. Day AgeOuts and High School Grads

Annualized Resources For Underserved Consumers			
	Number	Total*	Pct
North Region	36	102	35%
South Region	0	31	0%
West Region	4	149	3%
Statewide	40	282	14%

Includes only consumers who are Priority E or 1 on PRAT Database

*Total represents all Pri E/1 underserved consumers active on the waiting list during the reporting quarter

High School Graduates and Age Out* Funding			
North Region	Goal	Actual YTD	Difference
June 2013 Grads Served w/FY14 Grad Funds	103	79	-24
June 2013 Age Outs Served w/FY14 Grad Funds	51	45	-6
Total	154	124	-30
South Region	Goal	Actual YTD	Difference
June 2013 Grads Served w/FY14 Grad Funds	92	92	0
June 2013 Age Outs Served w/FY14 Grad Funds	32	24	-8
Total	124	116	-8
West Region	Goal	Actual YTD	Difference
June 2013 Grads Served w/FY14 Grad Funds	95	85	-10
June 2013 Age Outs Served w/FY14 Grad Funds	34	22	-12
Total	129	107	-22
Statewide	Goal	Actual YTD	Difference
June 2013 Grads Served w/FY14 Grad Funds	290	256	-34
June 2013 Age Outs Served w/FY14 Grad Funds	117	91	-26
Grand Total	407	347	-60

*Individuals Aging out of DCF and LEA Services

Section IV: Eligibility

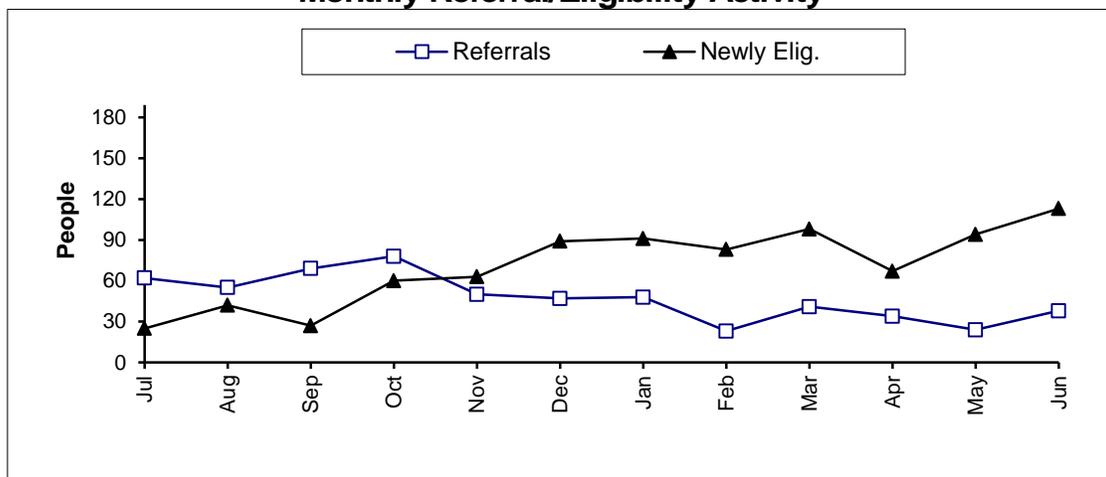
Eligibility Inquiries And Determination
 Cumulative For All Four Quarters -- FY 14
 July 1, 2013- June 30, 2014

There Were 1,207 Eligibility Determinations
 Cumulative Through The 4th Quarter -- FY 14
 July 1, 2013- June 30, 2014

Age Range	Total	Eligible	% Eligible Of Total Eligible	Ineligible	% Ineligible Of Total Ineligible
3-4.9	72	72	8.45%	0	0.00%
5-7.9	102	83	9.74%	19	5.35%
8-17.9	525	365	42.84%	160	45.07%
18-20.9	269	178	20.89%	91	25.63%
21-29	159	108	12.68%	51	14.37%
30-39	35	22	2.58%	13	3.66%
40-49	17	9	1.06%	8	2.25%
50-59	16	8	0.94%	8	2.25%
60-69	12	7	0.82%	5	1.41%
Totals*	1207	852	70.59%	355	29.41%

*Percent of Eligible and Ineligible represents percent of Total Eligibility Determinations of 1,207

Monthly Referral/Eligibility Activity



Section V: Case Load by Age
A. Residential

DDS CLIENTS BY RESIDENTIAL PROGRAM AND AGE
June 2014 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	IND. LIV.	DDS HOME IHS	PVT HOME IHS	SLF DIR HOME IHS	FAM HOME	PVT FAM IHS	SLF DIR FAM IHS	SNF	RCH (HA)	PRIV. RES. SCH.	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	21	0	0	0	0	0	0	0	0	0	21	0%
3-5	0	0	0	0	0	0	0	0	0	0	145	0	0	0	0	0	0	0	0	0	145	1%
6-13	0	0	7	3	2	15	0	0	0	0	1,044	87	64	0	0	8	1	4	2	1	1,238	8%
14-17	1	0	29	4	0	20	0	0	0	0	846	97	119	0	0	25	0	1	3	2	1,147	7%
18-21	0	0	109	37	8	26	4	0	4	1	1,153	67	116	1	0	36	0	3	7	1	1,573	10%
22-34	20	18	587	269	71	8	133	5	214	74	2,363	150	327	10	1	23	0	6	9	11	4,299	26%
35-44	42	49	516	95	63	2	59	14	176	63	698	69	149	11	3	4	1	6	4	3	2,027	12%
45-54	126	119	898	117	100	0	72	49	239	51	508	46	76	53	6	4	1	3	2	9	2,479	15%
55-64	161	107	744	75	81	0	68	64	188	27	279	31	45	92	7	3	1	2	7	4	1,986	12%
65-74	120	39	394	28	48	0	29	30	74	10	64	7	15	98	12	0	0	0	2	0	970	6%
75+	51	8	118	4	15	0	11	15	21	4	20	0	0	110	9	0	0	0	3	0	389	2%
TOTAL	521	340	3,402	632	388	71	376	177	916	230	7,141	554	911	375	38	103	4	25	39	31	16,274	100%
PERCENT	3%	2%	21%	4%	2%	0%	2%	1%	6%	1%	44%	3%	6%	2%	0%	1%	0%	0%	0%	0%	100%	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	IND. LIV.	DDS HOME IHS	PVT HOME IHS	SLF DIR HOME IHS	FAM HOME	PVT FAM IHS	SLF DIR FAM IHS	SNF	RCH (HA)	PRIV. RES. SCH.	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
Children (Age 0-17)	1	0	36	7	2	35	0	0	0	0	2,056	184	183	0	0	33	1	5	5	3	2,551	16%
Young Adults (Age 18-21)	0	0	109	37	8	26	4	0	4	1	1,153	67	116	1	0	36	0	3	7	1	1,573	10%
Adults (Age 22 and Over)	520	340	3,257	588	378	10	372	177	912	229	3,932	303	612	374	38	34	3	17	27	27	12,150	75%
Total	521	340	3,402	632	388	71	376	177	916	230	7,141	554	911	375	38	103	4	25	39	31	16,274	0%
Percent	3%	2%	21%	4%	2%	0%	2%	1%	6%	1%	44%	3%	6%	2%	0%	1%	0%	0%	0%	0%	100%	

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit
 DDS CLA (CLA) = DDS Operated Community Living Arrangement
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp L
 DDS Lic. CCH (CCH) = DDS licensed community companion home, etc.)
 CCH Not DDS Lic. (CTO) = Non-DDS licensed CCH (DCF home, etc.)
 SNF (SNF) = Skilled Nursing Facility*
 ICF (ICF) = Intermediate care facility/general*
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)*
 *

Priv. Res. Sch. (SCR) = Private Residential School
 Ind. Liv. (IL) = Independent Living
 DDS Home IHS = DDS Individual Support in Own Home
 Priv. Home IHS = Private Individual Support in Own Home
 Slf Dir Home IHS = Self Directed Individual Support in Own Home
 Family Home (FAM) = Family Home
 Pvt Fam IHS = Private Individual Support in Family Home
 Slf Dir Fam IHS = Self Directed Individual Support in Family Home
 MH Fac. (MH) = Mental Health Facility
 Hos. (HOS) = Hospital Facility
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)
 No Data = No valid Residential Program in CAMRIS for Client

Section V: Case Load by Age
B. Day/Work Services

DDS CLIENTS BY DAY PROGRAM AND AGE																					
June 2014 Client Data																					
(Does Not Include Birth to Three Children Who Are Not Clients of the Department)																					
Age Group	DSO	SEI	GSE	SHE	ADH	ENC	PV	SE	IDV	IDN	IDR	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	5	12	0	0	0	0	0	4	21	0%
3-5	0	0	0	0	0	0	0	0	0	0	0	27	96	0	0	0	0	0	22	145	1%
6-13	0	1	0	0	0	0	0	0	0	0	0	74	1,061	2	0	0	3	5	92	1,238	8%
14-17	0	0	0	0	0	0	0	0	0	0	0	15	1,050	7	0	0	4	4	67	1,147	7%
18-21	5	5	7	0	0	0	0	0	7	1	0	4	1,424	9	0	0	9	10	92	1,573	10%
22-34	1,325	248	1,455	58	1	0	0	0	218	221	0	0	167	7	48	4	9	236	302	4,299	26%
35-44	643	149	670	66	1	0	0	0	55	86	0	0	2	2	91	9	3	161	89	2,027	12%
45-54	987	126	741	89	3	0	0	0	55	116	0	0	1	1	87	8	3	192	70	2,479	15%
55-64	916	79	428	92	13	0	0	0	23	136	0	0	1	1	72	4	4	143	74	1,986	12%
65-74	514	12	123	26	8	0	0	0	7	112	1	0	0	0	17	1	1	102	46	970	6%
75+	181	0	15	13	7	0	0	0	1	51	0	0	0	0	4	2	1	79	35	389	2%
TOTAL	4,571	620	3,439	344	33	0	0	0	366	723	1	125	3,814	29	319	28	37	932	893	16,274	100%
PERCENT	28%	4%	21%	2%	0%	0%	0%	0%	2%	4%	0%	1%	23%	0%	2%	0%	0%	6%	5%	100%	
Sub-Totals by Age Groups																					
Age Group	DSO	SEI	GSE	SHE	ADH	ENC	PV	SE	IDV	IDN	IDR	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	1	0	0	0	0	0	0	0	0	0	121	2,219	9	0	0	7	9	185	2,551	16%
Young Adults (Age 18-21)	5	5	7	0	0	0	0	0	7	1	0	4	1,424	9	0	0	9	10	92	1,573	10%
Adults (Age 22 and Over)	4,566	614	3,432	344	33	0	0	0	359	722	1	0	171	11	319	28	21	913	616	12,150	75%
Total	4,571	620	3,439	344	33	0	0	0	366	723	1	125	3,814	29	319	28	37	932	893	16,274	100%
Percent	28%	4%	21%	2%	0%	0%	0%	0%	2%	4%	0%	1%	23%	0%	2%	0%	0%	6%	5%		
DSO Day Support Options SEI Supported Employment - Individual Placement GSE Group Supported Employment SHE Sheltered Employment IDV Individualized Day Vocational IDN Individualized Day Non-Vocational												LEA Public School SCD Res School EMP Employed NP* No Program: Is either on the Waiting List or doesn't need/want a program at this time. Combines former No Day Program - Program Needed, Medical EMX Unemployed OTH Other Day Program									

Section VI: Home and Community Based Waiver

A. Enrollment

All HCBS Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Home w/ Supports	RCH	Other	Total
North	179	1,023	240	129	1,244	409	8	23	3,255
South	140	940	190	146	1,318	592	3	18	3,347
West	18	934	157	105	1,306	378	4	30	2,932
June 14 Total	337	2,897	587	380	3,868	1,379	15	71	9,534
June 2013	358	2,883	525	384	3,719	1,376	14	87	9,346
ΔYTD	-21	14	62	-4	149	3	1	-16	188

Comprehensive Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	179	1,014	225	87	97	194	3	16	1,815
South	140	937	171	121	96	248	2	10	1,725
West	18	925	146	75	98	133	2	12	1,409
June 14 Total	337	2,876	542	283	291	575	7	38	4,949
June 13	358	2,866	475	293	265	584	4	39	4,884
ΔYTD	-21	10	67	-10	26	-9	3	-1	65

Individual and Family Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	0	8	15	42	967	207	4	7	1,250
South	0	3	19	25	1,034	330	1	8	1,420
West	0	9	11	30	1,032	236	2	15	1,335
June 14 Total	0	20	45	97	3,033	773	7	30	4,005
June 2013	0	17	50	90	3,045	775	10	45	4,032
ΔYTD	0	3	-5	7	-12	-2	-3	-15	-27

Employment and Day Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	0	1	0	0	180	8	1	0	190
South	0	0	0	0	188	14	0	0	202
West	0	0	0	0	176	9	0	3	188
June 14 Total	0	1	0	0	544	31	1	3	580
June 2013	0	0	0	1	409	17	0	3	430
ΔYTD	0	1	0	-1	135	14	1	0	150

Data Source: CAMRIS 6/14

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CRSs are pending transfer to the Comprehensive Waiver.

NOTE 2: "Own Home w/Supports" combines "Supported Living" and "Own Home(IL)" to show waiver enrollees with in-home supports.

NOTE 3: "CRS" (Continuous Residential Supports) is a new Residential Type whose consumers were formerly included as waiver enrollees with in-home supports.

Section VI: Home and Community Based Waiver

B. Federal Revenue

Actual v. Projected Revenue - FY 2014 Fourth Quarter					
(Millions of Dollars)					
	SFY 13	SFY 14	SFY 14	SFY 14	
	Actual Revenue	EOY Projected	YTD Actual Revenue *	Difference Projected vs Actual	% of Revenue Received
Waiver	\$385.24	\$375.13	\$364.05	\$11.08	97.05%
ICF/MR	\$114.32	\$82.81	\$95.73	-\$12.92	115.60%
TCM	\$16.62	\$6.00	\$24.23	-\$18.23	403.83%
B-3	\$7.05	\$3.50	\$6.67	-\$3.17	190.57%
TOTAL Billing	\$523.23	\$467.45	\$490.68	-\$23.23	104.97%
Actual v. Projected Revenue - FY 2014 Fourth Quarter					
(Millions of Dollars)					
	SFY 13	SFY 14	SFY 14	SFY 14	
	Actual Revenue	EOY Projected	YTD Actual Revenue *	Difference Projected vs Actual	Revenue Received
Autism Waiver	\$0.00	\$1.46	\$0.41	\$1.05	28.03%
Comp Waiver	\$321.96	\$313.32	\$299.26	\$14.06	95.51%
IFS Waiver	\$58.74	\$55.35	\$59.41	-\$4.07	107.34%
Supports Waiver	\$4.54	\$5.01	\$4.96	\$0.05	99.09%
Total Waiver	\$385.24	\$375.13	\$364.04	\$11.09	97.04%
Public ICF/MR	\$114.32	\$82.81	\$95.73	-\$12.92	115.60%
Targeted Case Mgmt.	\$16.62	\$6.00	\$24.23	-\$18.23	403.83%
Birth to Three	\$7.05	\$3.50	\$6.67	-\$3.17	190.57%
TOTAL Billing	\$523.23	\$467.45	\$490.67	-\$23.22	104.97%
* FY 2014 claiming for ICF/IID has been changed per CMS request to remove admin load. Admin claim will be separate from service claim.					

Section VII: Human Resource

A. DDS funded Position Count

Permanent Full Time Position Count (General Funds)							
Region	Authorized	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant	
North	747	620	12	7	0	108	
South	683	584	11	18	0	70	
West	595	495	1	18	0	81	
STS	1160	796	1	38	0	325	
Central Office	140	129	1	0	0	10	
Totals	3325	2624	26	81	0	594	

Permanent Part Time Position Count (General Funds)							
Region	Authorized	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant	
North	340	234	8	10	0	88	
South	305	213	4	8	0	80	
West	224	175	0	8	0	41	
STS	201	107	0	14	0	80	
Central Office	0	0	0	0	0	0	
Totals	1070	729	12	40	0	289	

Other General Fund Positions - Filled							
Type	North	South	West	STS	CO	Total	
Temporary	9	23	1	6	0	39	
General Workers	31	20	5	64	0	120	
Substitutes	0	0	0	0	0	0	
Retirees	0	0	0	0	3	3	
Inst. Fire	0	0	0	2	0	2	
Per Diems	19	11	5	4	5	44	

Section VII: Human Resources

B. DDS Abuse and Neglect Registry

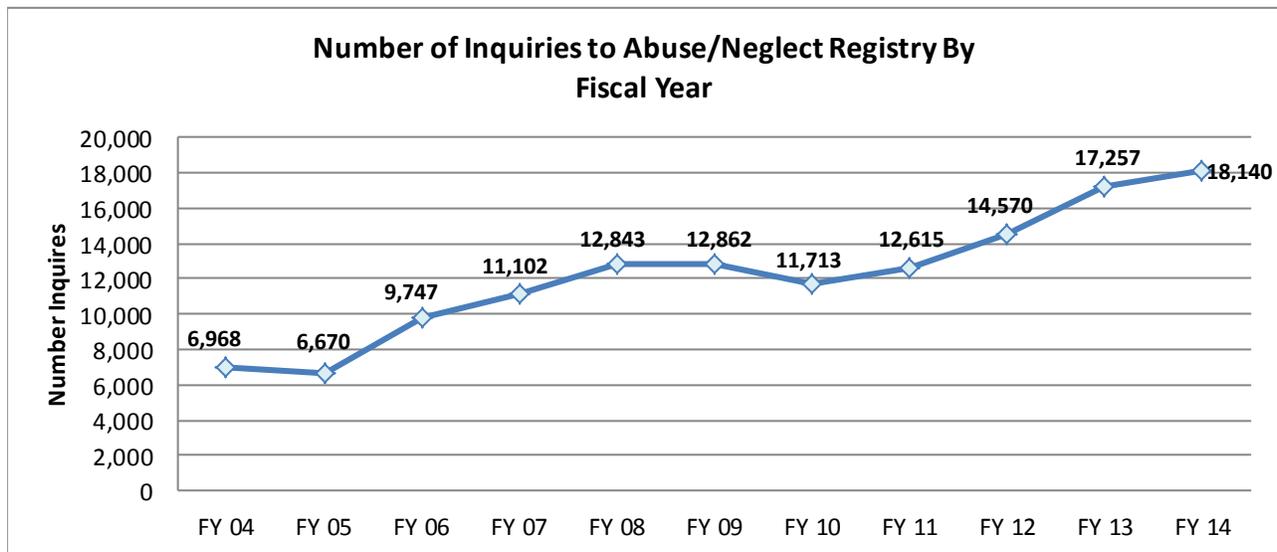
DDS Abuse and Neglect Registry Quarterly Report

April 1, 2014-June 30, 2014

Registry Notifications Received							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Notifications This Quarter	29	28	97%	1	3%	0	0%
Notifications as of 3/30/14	828	688	83%	140	17%	0	0%
Total Cumulative Notifications *	857	716	84%	141	16%	0	0%

Disposition of Registry Notifications							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Names on Registry This Quarter	0	0	0%	0	0%	0	0%
Names on Registry as of 3/30/14	150	120	80%	30	20%	0	0%
Closed - Arbitration & Legal Proceedings	92	24	26%	67	73%	0	0%
Closed - Do not meet Statutory Criteria	408	364	89%	44	11%	0	0%
Total Completed Cases*	650	508	78%	141	22%	0	0%

*Represents cumulative data from the beginning of the Abuse/Neglect Registry



Inquiries made in Current Fiscal Year	
Number Inquiries in Current Quarter	Number of Inquiries Year to Date
5,106	18,140